

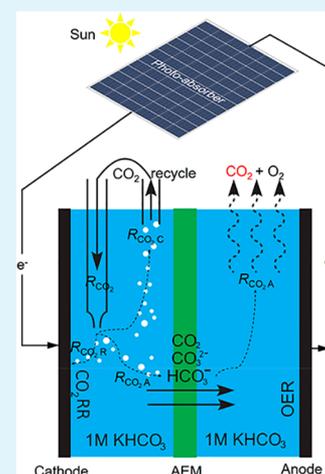
# An Experimental- and Simulation-Based Evaluation of the CO<sub>2</sub> Utilization Efficiency of Aqueous-Based Electrochemical CO<sub>2</sub> Reduction Reactors with Ion-Selective Membranes

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## Supporting Information

**ABSTRACT:** The CO<sub>2</sub> utilization efficiency of three types of electrochemical CO<sub>2</sub> reduction (CO<sub>2</sub>R) reactors by using different ion-selective membranes, including anion exchange membrane (AEM), cation exchange membrane (CEM), and bipolar membrane (BPM), was studied quantitatively via both experimental and simulation methods. The operating current density of the CO<sub>2</sub>R reactors was chosen to be between 10 and 50 mA cm<sup>-2</sup> to be relevant for solar-fuel devices with relatively low photon flux from sunlight. In the AEM-based CO<sub>2</sub>R reactor with a six-electron per carbon CO<sub>2</sub>R at the cathode surface, an upper limit of 14.4% for the CO<sub>2</sub> utilization efficiency was revealed by modeling and validated by experimental measurements in CO<sub>2</sub>-saturated aqueous electrolytes without any buffer electrolyte. Improvements in CO<sub>2</sub> utilization efficiency were observed when additional buffer electrolyte was added into the aqueous solution, especially in solutions with low bicarbonate concentrations. The effects of the feed rate of the input CO<sub>2</sub> stream, the Faradaic efficiency (FE), and the participating electron numbers of the cathode reaction on the CO<sub>2</sub> utilization efficiency were also studied in the AEM-based CO<sub>2</sub>R reactor. The CEM-based CO<sub>2</sub>R reactor exhibited low CO<sub>2</sub> utilization efficiency with recirculation between the catholyte and the anolyte and was unsustainable due to the cation depletion from the anolyte without any recirculation. The BPM-based CO<sub>2</sub>R reactor operated continuously without a significant increase in the cell voltage and exhibited significantly higher CO<sub>2</sub> utilization efficiency, up to 61.4%, as compared to the AEM-based CO<sub>2</sub>R reactors. Diffusive CO<sub>2</sub> loss across the BPM resulted in relatively low CO<sub>2</sub> utilization efficiency at low operating current densities. Modeling and simulation also provided target BPM properties for higher CO<sub>2</sub> utilization efficiency and efficient cell operation.

**KEYWORDS:** electrochemical CO<sub>2</sub> reduction, CO<sub>2</sub> utilization efficiency, ion exchange membrane, modeling, ionic conductivity, bipolar membrane



## INTRODUCTION

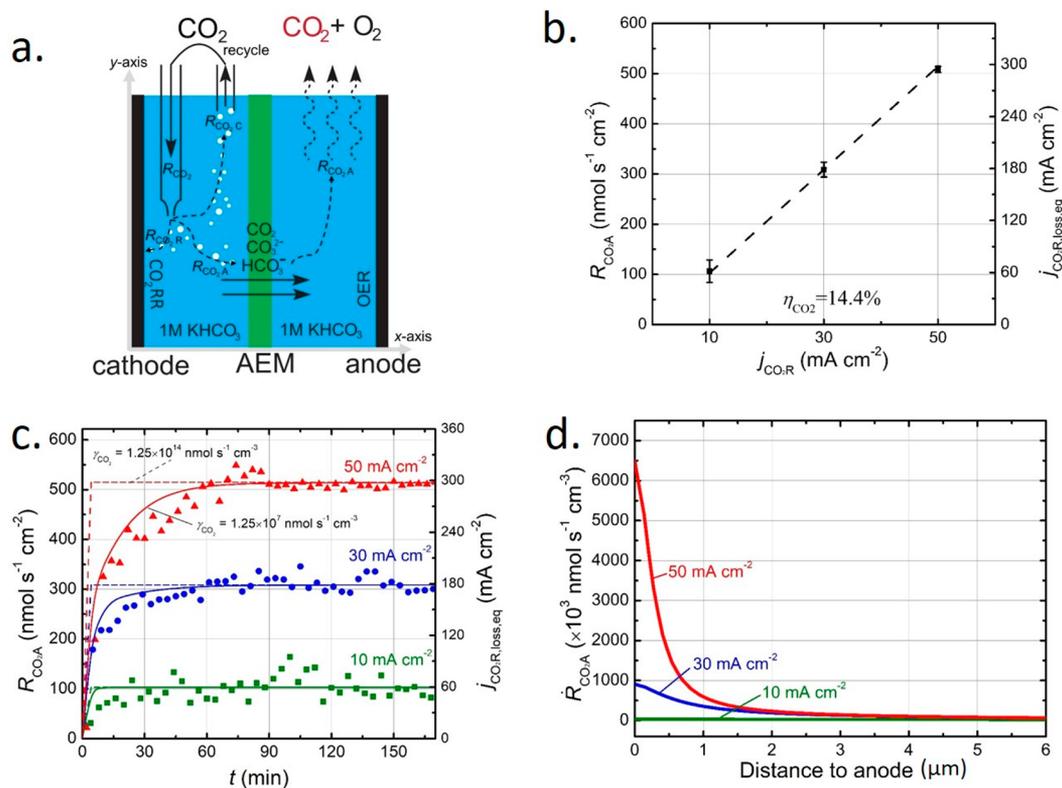
Electrochemical and photoelectrochemical (PEC) CO<sub>2</sub> reduction (CO<sub>2</sub>R) into value-added fuels or chemicals have become an active area of research in the past few years.<sup>1–9</sup> Significant advances have been made in the materials discovery and device architecture for selective and efficient CO<sub>2</sub>R reactions.<sup>3,10–15</sup> In aqueous-based CO<sub>2</sub>R systems, ion selective membranes, such as cation exchange membrane (CEM), anion exchange membrane (AEM), or bipolar membrane (BPM), have been widely used to minimize the product crossovers and to provide ionic conduction between the cathode and anode chambers. In particular, the bipolar membrane-based reactors have been of significant interest<sup>16–20</sup> due to its ability to maintain pH differentials between the cathode and the anode chambers. Solar-driven CO<sub>2</sub> reduction devices that used bipolar membrane exhibited solar-to-fuel (STF) conversion efficiencies that exceeded 10% for CO generation<sup>21</sup> and for formate generation.<sup>22</sup> AEM-based solar-driven CO<sub>2</sub> reduction devices

have also shown promising conversion efficiency to oxygenate and hydrocarbons.<sup>23,24</sup> On the modeling side, although there have been several studies<sup>25–27</sup> on the effects of local pHs and local CO<sub>2</sub> concentrations on the operating conditions and constraints for CO<sub>2</sub>R reactors, the CO<sub>2</sub> utilization efficiency is often overlooked or sacrificed in fundamental studies and in the materials discovery research to maintain a well-controlled reaction environment with constant CO<sub>2</sub> concentrations.<sup>28</sup> However, the utilization efficiency will have a significant impact on the overall efficiency of the system and the cost of the produced fuels or chemicals in practical devices. This study focuses on the analysis of the CO<sub>2</sub> utilization behavior of membrane-based reactors. More specifically, the rate of CO<sub>2</sub> crossover to the anode chamber and the CO<sub>2</sub> utilization

Received: May 17, 2019

Accepted: June 27, 2019

Published: June 27, 2019



**Figure 1.** (a) Schematic illustration of the AEM-based CO<sub>2</sub>R reactor. (b) CO<sub>2</sub> loss rate ( $R_{\text{CO}_2\text{A}}$ ) and the equivalent CO<sub>2</sub> current density loss ( $j_{\text{CO}_2\text{R,loss,eq}}$ ) as a function of operating current density. (c) Transient behavior of  $R_{\text{CO}_2\text{A}}$  and  $j_{\text{CO}_2\text{R,loss,eq}}$  of AEM cell for different operating current densities (50 mA cm<sup>-2</sup> in red, 30 mA cm<sup>-2</sup> in blue, and 10 mA cm<sup>-2</sup> in green) with two CO<sub>2</sub> releasing coefficients ( $\gamma_{\text{CO}_2}$ ). (d) Volumetric CO<sub>2</sub> loss rate ( $R_{\text{CO}_2\text{A}}$ ) distribution as a function of distance from anode to cathode surfaces for applied current densities of 10, 30, and 50 mA cm<sup>-2</sup>. Dots with error bars are experimental data, and solid and dashed line are simulation data. The 1D simulation is performed along the  $x$ -axis.

efficiency were modeled, simulated, and experimentally measured in aqueous-based electrochemical devices with CEMs, AEMs, and BPMs and a range of electrolyte conditions. The simulation and the experimental results revealed significant challenges in improving the CO<sub>2</sub> utilization efficiency in aqueous-based configurations, especially with higher electron CO<sub>2</sub>R products.

## RESULTS AND DISCUSSION

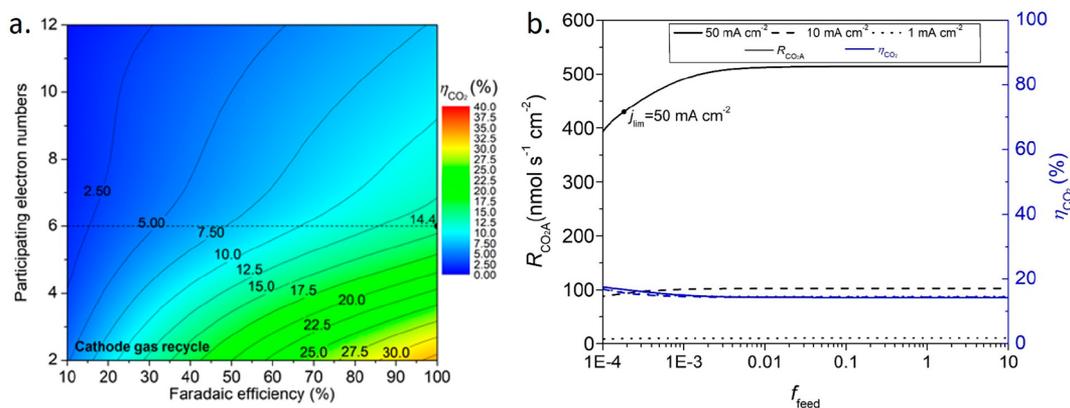
Figure 1a shows the pathways of CO<sub>2</sub> transport in a generic aqueous-based electrochemical CO<sub>2</sub>R device, in which the cathode for CO<sub>2</sub>R reaction and the anode for oxygen evolution reaction (OER) are separated by an AEM separator that facilitates the ionic transport between the catholyte and anolyte. The CO<sub>2</sub> utilization efficiency ( $\eta_{\text{CO}_2}$ ), which is defined as the CO<sub>2</sub> utilized per unit amount of CO<sub>2</sub> fed to the utilization process,<sup>24</sup> can be written as follows:

$$\eta_{\text{CO}_2} = \frac{R_{\text{CO}_2\text{R}}}{R_{\text{CO}_2\text{R}} + R_{\text{CO}_2\text{A}} + R_{\text{CO}_2\text{C}}} \times 100\% \quad (1)$$

where  $R_{\text{CO}_2\text{C}}$  is the rate of the unreacted CO<sub>2</sub> leaving the cathode chamber,  $R_{\text{CO}_2\text{R}} = \frac{\eta_{\text{F}} j_{\text{total}}}{nF}$  is the rate of CO<sub>2</sub>R reaction at the cathode surface ( $n$  is the number of electrons participating in CO<sub>2</sub>R reaction,  $F$  is the Faraday constant,  $j_{\text{total}}$  is the total geometric current density of the cathode, and  $\eta_{\text{F}}$  is the Faradaic efficiency for CO<sub>2</sub>R reaction), and  $R_{\text{CO}_2\text{A}}$  is the

rate of the CO<sub>2</sub> loss across the separator to the anode chamber in the form of CO<sub>2</sub>, HCO<sub>3</sub><sup>-</sup>, and CO<sub>3</sub><sup>2-</sup>.  $R_{\text{CO}_2} = R_{\text{CO}_2\text{R}} + R_{\text{CO}_2\text{A}} + R_{\text{CO}_2\text{C}}$  is the total rate of CO<sub>2</sub> feed to the cathode chamber. All the rates in eq 1 are normalized with respect to the unit area of the cathode. While the rate of the unreacted CO<sub>2</sub> leaving the cathode chamber can be optimized and minimized in principle with multipass reactor designs, the CO<sub>2</sub> transported across the separator ( $R_{\text{CO}_2\text{A}}$ ) would eventually coevolve with O<sub>2</sub> from the anode and would result in significant energy penalties to bring an O<sub>2</sub>-free, relatively pure stream of CO<sub>2</sub> back to the cathode. The  $R_{\text{CO}_2\text{A}}$  was measured experimentally and evaluated computationally in this study.

In the AEM-based CO<sub>2</sub>R reactor (Figure 1a), the CO<sub>2</sub> transport across the separator, the electrolyte concentrations, and the resulting upper limit for the CO<sub>2</sub> utilization efficiency are independent of the overpotential of cathodic reaction. The generation rate of OH<sup>-</sup> at the cathode electrode, which controls the electrolyte composition in the cathode chamber, is independent of the cathodic reaction and is only determined by the operating current density of the electrode. As a result, as long as the catholyte is saturated with CO<sub>2</sub>, the rate of HCO<sub>3</sub><sup>-</sup> crossing through the AEM is independent of the cathodic reactions. The effect of cathodic reactions on  $R_{\text{CO}_2\text{A}}$  is shown in Figure S1, showing no difference in  $R_{\text{CO}_2\text{A}}$  between CO<sub>2</sub>R reaction with unity Faradaic efficiency and the hydrogen evolution (HER) case. Therefore, a Ni cathode was used for



**Figure 2.** (a)  $\text{CO}_2$  utilization efficiency ( $\eta_{\text{CO}_2}$ ) as a function of  $\text{CO}_2\text{R}$  participating electron numbers and Faradaic efficiency without considering  $R_{\text{CO}_2\text{C}}$  (cathode gas recycle). (b)  $R_{\text{CO}_2\text{A}}$  and  $\eta_{\text{CO}_2}$  as a function of reduced cathode  $\text{CO}_2$  feed rate by a factor of  $f_{\text{feed}}$  at operating current density of 1, 10, and  $50 \text{ mA cm}^{-2}$ .

HER, instead of  $\text{CO}_2\text{R}$ , to mimic the pH gradient and  $\text{OH}^-$  generation rate in the system as well as to simplify the experimental measurements and validations. This is implemented for all the experiments performed in this study. Furthermore, the electrochemical rates for the hydroxide generation and proton generation at the surface of the cathode and anode were held constant during the modeling and experimental measurements. While the concentration increase of  $\text{CO}_2\text{R}$  products during the reaction would change the equilibrium potential of the reaction, the electrode potential would be adjusted accordingly to maintain the constant operating current density. The anolyte was prebubbled with  $\text{CO}_2$  gas without any circulation or stirring to ensure an initial equilibrium of the anolyte, AEM, and catholyte. The plasticization of membranes was not observed during the course of the measurements. Furthermore, the stable cell potential in AEM-based (Figure S2c) and BPM-based (Figure S8c) reactors confirms the ionic properties of membranes were not changing significantly throughout the experiment. The lower range of operating current density,  $10\text{--}50 \text{ mA cm}^{-2}$ , chosen in this study to evaluate  $\text{CO}_2$  utilization efficiency of the device, is comparable to the operating photocurrent density of a solar-driven  $\text{CO}_2\text{R}$  system (without solar concentration).<sup>21–24,29–31</sup> This will offer direct design guidelines for solar-driven  $\text{CO}_2\text{R}$  reactors using various unconcentrated photoabsorbers.

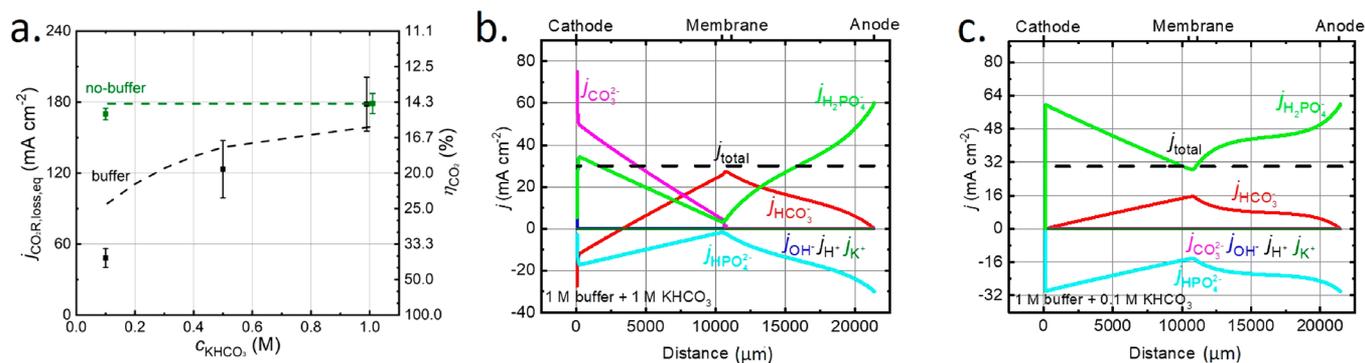
In the AEM-based  $\text{CO}_2\text{R}$  reactor, the  $\text{HCO}_3^-$  was the dominating ionic current carrier crossing the separator, which can be confirmed from Figure S2b showing that the  $\text{HCO}_3^-$  crossover current density,  $j_{\text{HCO}_3^-}$ , was  $9.9 \text{ mA cm}^{-2}$  at the operating current density of  $10 \text{ mA cm}^{-2}$ . The crossed-over  $\text{HCO}_3^-$  eventually contributed to the  $\text{CO}_2$  loss close to anode surface due to the acidic environment at anode boundary layer region favoring dehydration of  $\text{HCO}_3^-$  to  $\text{CO}_2$ . From the nucleation theory,<sup>25,32</sup> the  $\text{CO}_2$  loss at the anode chamber was modeled as a volumetric source term that was dependent on the  $\text{CO}_2$  supersaturation ( $S$ ) and a  $\text{CO}_2$  loss coefficient ( $\gamma_{\text{CO}_2}$ ) (see eq S11). In addition, the  $\text{CO}_2$  loss was experimentally measured in the gas mixture evolving from the anode chamber by using gas chromatography (see experimental method section in the Supporting Information). Figure 1b shows  $R_{\text{CO}_2\text{A}}$  as a function of operating current density at the cathode surface for  $\text{CO}_2\text{R}$ . The anodic  $\text{CO}_2$  loss due to crossover

( $R_{\text{CO}_2\text{A}}$ ) can also be represented as an equivalent loss in the  $\text{CO}_2\text{R}$  current density, which is defined as follows and shown in Figure 1b:

$$j_{\text{CO}_2\text{R,loss,eq}} = nFR_{\text{CO}_2\text{A}} \quad (2)$$

The simulation results show that  $R_{\text{CO}_2\text{A}}$  and  $j_{\text{CO}_2\text{R,loss,eq}}$  increase linearly with increasing operating current density at the cathode (dashed line in Figure 1b). At the steady state, the simulation results suggest that all the  $\text{HCO}_3^-$  ions that were crossing over the AEM contributed to the release of  $\text{CO}_2$  gas from the anode chamber. For instance, the rate of  $\text{CO}_2$  loss of  $R_{\text{CO}_2\text{A}} = 103 \text{ nmol s}^{-1} \text{ cm}^{-2}$  was a consequence of the  $\text{HCO}_3^-$  current density of  $j_{\text{HCO}_3^-} = 9.9 \text{ mA cm}^{-2}$  crossing the AEM at the operating current density of  $10 \text{ mA cm}^{-2}$ . The experimentally measured  $R_{\text{CO}_2\text{A}}$  (dots with error bars in Figure 1b) at various operating current density were in close agreement with the simulation results, confirming the mechanism of  $\text{CO}_2$  loss in electrochemical or photoelectrochemical devices. Assuming the rate of the unreacted  $\text{CO}_2$  leaving the cathode chamber,  $R_{\text{CO}_2\text{C}} = 0$  with ideal multipass reactor design, an upper limit of  $\text{CO}_2$  utilization efficiency ( $\eta_{\text{CO}_2}$ ) of 14.4% was found for all applied current densities for a six-electron  $\text{CO}_2\text{R}$  reaction at the cathode (e.g.,  $\text{C}_2\text{H}_4$  or  $\text{C}_2\text{H}_5\text{OH}$ ) independent of the operating current density of the device. The result suggests that in an aqueous-based  $\text{CO}_2\text{R}$  reactor with AEM the  $\text{CO}_2$  loss to the anode chamber is  $\sim 6$  times higher than the  $\text{CO}_2$  used and converted at the cathode. Note that the  $\eta_{\text{CO}_2}$  varies as the number of electrons per mole of  $\text{CO}_2$  involved in  $\text{CO}_2\text{R}$  reaction changes. From eqs 1 and 2, the calculated values of  $\eta_{\text{CO}_2}$  were 33.3%, 20%, and 11.1%, for 2-, 4-, and 8-electron  $\text{CO}_2\text{R}$  products, respectively (see Figure 2a).

Figure 1c shows the transient behavior of the rate of  $\text{CO}_2$  gas release from the anode chamber. The green, blue, and red data points show the experimental  $\text{CO}_2$  gas release rate as a function of time when the reactor operated at 10, 30, and  $50 \text{ mA cm}^{-2}$ , respectively. After the initial increase, the  $R_{\text{CO}_2\text{A}}$  and the corresponding  $j_{\text{CO}_2\text{R,loss,eq}}$  reached a steady-state value for all three current densities. Transport analysis of the cell was then performed to understand the transient behavior as well as the



**Figure 3.** (a)  $j_{\text{CO}_2\text{R,loss,eq}}$  and  $\eta_{\text{CO}_2}$  as a function of  $\text{KHCO}_3$  concentration with 1 M phosphate buffer solution at an operating current density of  $30 \text{ mA cm}^{-2}$ . The no-buffer case (0.1 and 1 M  $\text{KHCO}_3$ ) was plotted in green as a reference. Dots with error bars are experimental data, and lines are simulation data. (b) Partial current density as a function of distance from the cathode surface for the case with 1 M  $\text{KHCO}_3$  and 1 M phosphate buffer solution under an applied current density of  $30 \text{ mA cm}^{-2}$  at steady-state conditions. (c) Partial current density as a function of distance from the cathode surface for the case with 0.1 M  $\text{KHCO}_3$  and 1 M phosphate buffer solution under an applied current density of  $30 \text{ mA cm}^{-2}$  at steady-state conditions.

spatial distribution of the  $\text{CO}_2(\text{g})$  release rates. Two values of the  $\text{CO}_2$  releasing coefficient ( $\gamma_{\text{CO}_2}$ ) were used and compared in this study, i.e.,  $\gamma_{\text{CO}_2} = 1.25 \times 10^{14}$  and  $1.25 \times 10^7 \text{ nmol s}^{-1} \text{ cm}^{-3}$ . While both values resulted in the same steady-state values of  $R_{\text{CO}_2\text{A}}$ , the former value ( $1.25 \times 10^{14} \text{ nmol s}^{-1} \text{ cm}^{-3}$ ), which was reported by Wilt,<sup>32</sup> resulted in a large discrepancy at the initial stage of the operation (0–60 min) shown as dashed lines in Figure 1c. By reduction of the value of  $\gamma_{\text{CO}_2}$  to  $1.25 \times 10^7 \text{ nmol s}^{-1} \text{ cm}^{-3}$ , the transient behavior of the  $\text{CO}_2$  gas release rate (Figure 1c, solid lines) matched relatively well with the experimental results. The  $\text{CO}_2$  releasing coefficient ( $\gamma_{\text{CO}_2}$ ) represented the gaseous  $\text{CO}_2$  escaping rate ( $\text{nmol s}^{-1}$ ) per unit volume ( $\text{m}^{-3}$ ) electrolyte.  $\gamma_{\text{CO}_2}$  is closely related to the nucleation rate of  $\text{CO}_2$ , which is highly dependent on the properties (roughness, wettability, etc.) of the electrodes, the properties of electrolyte, and the operating current densities. The releasing coefficient also depends exponentially on the contact angle and the roughness of the surface where gas bubbles are nucleating. Wilt<sup>32</sup> showed that the rate of nucleation could vary over 7 orders of magnitude with changes in the surface roughness. In this study, the lower value of  $\text{CO}_2$  releasing coefficient is likely due to the smoother electrode surface with fewer sites to nucleate  $\text{CO}_2$  bubbles. The simulations for all other reactors were performed with this corrected  $\gamma_{\text{CO}_2}$  value.

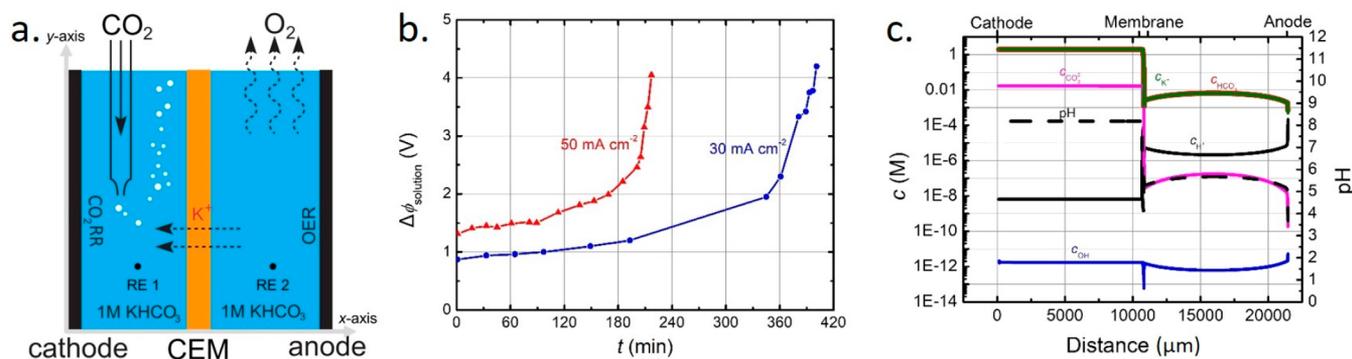
Figure 1d shows the simulated spatial distribution of  $R_{\text{CO}_2\text{A}}$  as a function of distance from the anode surface. The  $R_{\text{CO}_2\text{A}}$  was significantly higher close to the anode surface and decreased drastically with the increasing distance from the anode surface, indicating a strong influence of pH on the nucleation of  $\text{CO}_2$  gas in the aqueous electrolyte. The simulation results also suggested that the majority of the nucleation for  $\text{CO}_2$  evolution occurred within  $\sim 1 \mu\text{m}$  distance from the anode surface, which agreed well with a previous study by Wilt and others.<sup>32</sup>

Figure 2a shows the effects of Faradaic efficiency (FE) and participation electron numbers for  $\text{CO}_2\text{R}$  reaction on the  $\eta_{\text{CO}_2}$ . Higher FE and lower participation electron numbers exhibited higher  $\eta_{\text{CO}_2}$ . For example, at an FE of 100% for a two-electron  $\text{CO}_2\text{RR}$ , the  $\eta_{\text{CO}_2}$  can reach up to 33.3% as compared to 14.4%

for the reference case with a 100% FE and six-electron  $\text{CO}_2\text{RR}$ . Note that the results are independent of operation current density according to eq 1 as long as  $R_{\text{CO}_2\text{C}}$  is equal to 0 (ideal case for cathode gas recycling). In a more realistic case ( $R_{\text{CO}_2\text{C}} > 0$ ) when the cathode gas recycling is not considered, the  $\eta_{\text{CO}_2}$  was much smaller (Figure S3a). For example, a constant  $\text{CO}_2$  flow of 5 sccm is considered for a  $1 \text{ cm}^2$  area electrode undergoing six-electron  $\text{CO}_2\text{R}$  reaction with 100% FE; the maximum  $\eta_{\text{CO}_2}$  was 2.3% at an operating current density of  $50 \text{ mA cm}^{-2}$  (Figure S3a).

One approach to increase the  $\eta_{\text{CO}_2}$  is to reduce the  $\text{CO}_2$  feed rate while keeping the same operating current density for the cell. Figure 2b shows the  $R_{\text{CO}_2\text{A}}$  and the corresponding  $\eta_{\text{CO}_2}$  as a function of reduced cathode  $\text{CO}_2$  feed rate at three different operating current densities. The  $\text{CO}_2$  feed rate ( $R_{\text{feed}}$ ) was defined as follows:  $R_{\text{feed}} = f_{\text{feed}} \times k_{\text{feed}} \times c_{\text{CO}_2}$ , where the  $k_{\text{feed}}$  is  $0.33 \text{ s}^{-1}$  based on literature data<sup>25</sup> corresponding to  $\sim 21 \text{ sccm}$  for the 10 mL cathode chamber in this study and  $c_{\text{CO}_2}$  is the electrolyte  $\text{CO}_2$  concentration. The  $\eta_{\text{CO}_2}$  remained nearly constant (Figure 2b) even when the  $\text{CO}_2$  feed rate was reduced by  $10^4$  times for all three operating current densities. Further reduction of the  $\text{CO}_2$  feed rate resulted in a significant increase of the bulk pH of the catholyte and a significant decrease of the dissolved  $\text{CO}_2$  near the cathode electrode and lowering the limiting current density of the cell (Figure S3b). For instance, when the  $f_{\text{feed}}$  was reduced to  $< 1.8 \times 10^{-4}$ , the limiting current density of the cell was limited to  $< 50 \text{ mA cm}^{-2}$ . In the extreme case where  $f_{\text{feed}}$  was reduced to  $1.5 \times 10^{-5}$ , the bulk pH of the electrolyte reached 10.1 in the steady-state operation, and  $\text{OH}^-$  contributed to the ionic transport through the AEM, a  $\eta_{\text{CO}_2}$  of 71.2% was obtained at the limiting current density of the cell at  $2.8 \text{ mA cm}^{-2}$ . In addition, we also showed that the  $\text{CO}_2$  loss rate is independent of the spacing between the electrode and membrane (see Figure S4).

The  $R_{\text{CO}_2\text{A}}$  in the AEM-based  $\text{CO}_2$  reactor was mainly due to the dominating partial current density of  $\text{HCO}_3^-$  across the separator. One strategy to minimize the transference of  $\text{HCO}_3^-$  across the AEM is to introduce supporting anions (current carriers) in the solution, such as adding a high concentration of buffers. Figure 3 shows the experimental and



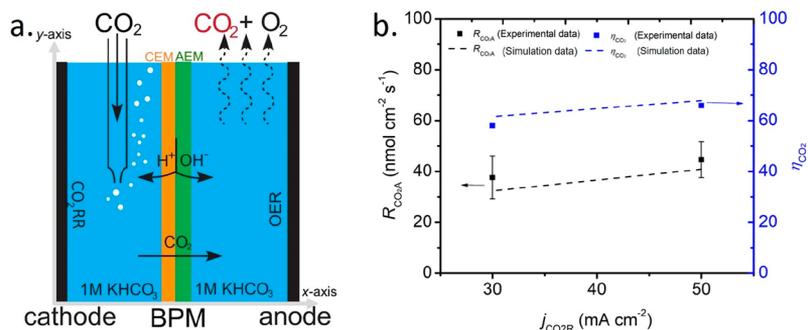
**Figure 4.** (a) Schematic illustration of the CEM-based CO<sub>2</sub>R reactor. Two reference electrodes (RE 1 and RE 2, black dots) were placed at the middle of cathode and anode for the quantification of the voltage drop across separator and electrolyte. (b) Experimentally measured voltage loss due to mass transport in the electrolyte and CEM (voltage difference between two reference electrodes) as a function of time for the applied current densities of 30 mA cm<sup>-2</sup> (in blue) and 50 mA cm<sup>-2</sup> (in red). (c) Species concentration and pH as a function of distance from the cathode surface for the case for the applied current density of 30 mA cm<sup>-2</sup> at  $\Delta\phi_{\text{solution}} = 4$  V.

modeling results of the  $R_{\text{CO}_2\text{A}}$  and the corresponding  $\eta_{\text{CO}_2}$  when 1 M phosphate buffer solution was added into various KHCO<sub>3</sub> (0.1, 0.5, and 1 M) solutions. The phosphate buffer species (H<sub>2</sub>PO<sub>4</sub><sup>-</sup> and HPO<sub>4</sub><sup>2-</sup>) were equilibrated according to its pK<sub>a</sub> of 6.9 in the CO<sub>2</sub>-saturated solutions of pH = 7.85 for 1 M KHCO<sub>3</sub>, pH = 7.55 for 0.5 M KHCO<sub>3</sub>, and pH = 6.58 for 0.1 M KHCO<sub>3</sub>. The detailed buffer compositions are listed in Table S5. The near-neutral pH conditions used in this study were the dominating pH environments in reported CO<sub>2</sub>R studies due to the lack of dissolved CO<sub>2</sub> in alkaline conditions (very low operating current density) and the poor selectivity for CO<sub>2</sub>R in acidic conditions (very high selectivity toward HER).<sup>25</sup> In general, the reactors with buffered electrolytes exhibited a higher CO<sub>2</sub> utilization efficiency for various concentrations of KHCO<sub>3</sub> solutions. The dashed green line and the dashed black line represent the simulated values of  $j_{\text{CO}_2\text{R,loss,eq}}$  and the  $\eta_{\text{CO}_2}$  as a function of the HCO<sub>3</sub><sup>-</sup> concentration for the unbuffered and buffered (1 M phosphate buffer) solutions, respectively. The simulated  $\eta_{\text{CO}_2}$  increased from 14.4% to 15.9% in 1 M KHCO<sub>3</sub> solution when 1 M phosphate buffer electrolyte was added. The simulated  $\eta_{\text{CO}_2}$  was further increased up to ~24% by reducing the concentration of KHCO<sub>3</sub> to 0.1 M in a 1 M phosphate buffer solution. The experimentally measured  $j_{\text{CO}_2\text{R,loss,eq}}$  and the corresponding  $\eta_{\text{CO}_2}$  (dots with error bars in Figure 3a, also see transient data in Figure S5a) showed a similar trend as compared to the simulation results. The measured  $\eta_{\text{CO}_2}$  was 14.4%, 19.6%, and 38.3% for 1, 0.5, and 0.1 M KHCO<sub>3</sub> case, respectively. The discrepancy between simulation and the experimental results with low bicarbonate concentrations could be due to the concentration-dependent diffusion coefficient of HCO<sub>3</sub><sup>-</sup> in AEM and in the buffered solutions (see the Supporting Information and Figure S6). To further understand the effect of buffer on the CO<sub>2</sub> crossover, spatial distributions of the partial ionic current of various species were obtained at the steady-state operation of the electrochemical CO<sub>2</sub>R reactor. Figure 3b shows the steady-state spatial distribution of ionic current densities for all anions and cations in 1 M KHCO<sub>3</sub> solution with 1 M phosphate buffer at the operational current density of 30 mA cm<sup>-2</sup>. The partial current density of cations H<sup>+</sup> and K<sup>+</sup> was close to zero, whereas the anions have either positive or negative current density, indicating the

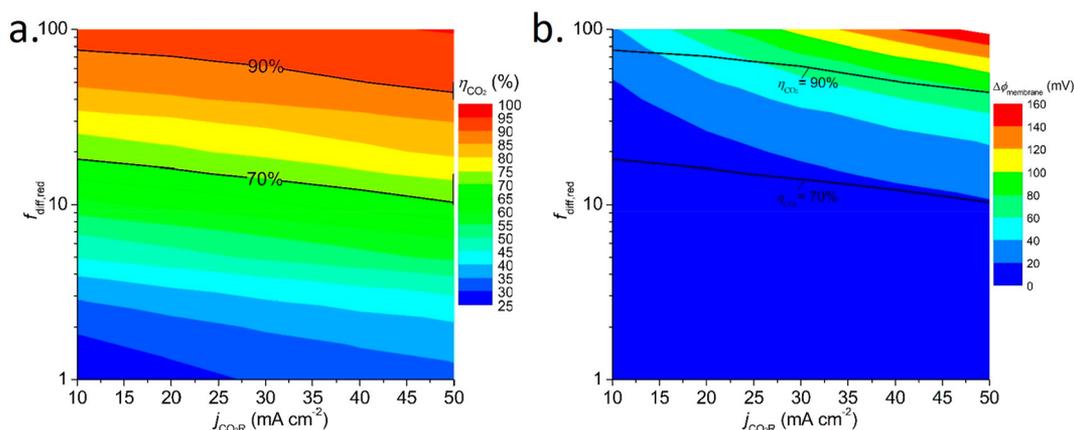
primary mechanism of transport being either migration or diffusion, respectively. The net current density of CO<sub>2</sub> carriers such as HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup> was positive and in the direction away from the cathode.

The addition of a buffer reduces marginally the transference of HCO<sub>3</sub><sup>-</sup>, while the majority of the current density across the AEM was still supported by HCO<sub>3</sub><sup>-</sup> (red curve). The calculated partial current densities of HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, H<sub>2</sub>PO<sub>4</sub><sup>-</sup>, and HPO<sub>4</sub><sup>2-</sup> were 26.5, 3.5, 2, and -2 mA cm<sup>-2</sup>, respectively. As a result of a marginal reduction in the transference of HCO<sub>3</sub><sup>-</sup>, negligible improvement in  $\eta_{\text{CO}_2}$  was observed when buffer electrolytes were added into the 1 M KHCO<sub>3</sub> solution. Substantial improvement in  $\eta_{\text{CO}_2}$  was observed by lowering the concentration of the KHCO<sub>3</sub> solution by an order of magnitude, which, in turn, reduced the partial current density and transference of HCO<sub>3</sub><sup>-</sup> across the AEM (see Figure 3c). As seen in Figure 3c, the partial current carried by H<sub>2</sub>PO<sub>4</sub><sup>-</sup> and HPO<sub>4</sub><sup>2-</sup> increased to 28.3 and 13.9 mA cm<sup>-2</sup> when reducing the KHCO<sub>3</sub> to 0.1 M while keeping the buffer solution concentration at 1 M. This leads to reduced partial current densities of HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup> (15.8 and ~0 mA cm<sup>-2</sup>) resulting in an enhanced  $\eta_{\text{CO}_2}$ .

Another strategy to reduce the transference of HCO<sub>3</sub><sup>-</sup> and hence the CO<sub>2</sub> loss is to use a CEM in the electrochemical CO<sub>2</sub>R device. Figure 4 shows the schematic illustration of an aqueous, CEM-based CO<sub>2</sub>R reactor. Figure 4a shows that although the CEM-based CO<sub>2</sub>R reactors have the potential to minimize CO<sub>2</sub> crossover by blocking the HCO<sub>3</sub><sup>-</sup> transport, the cell cannot be operated at steady state without recirculation due to the excessive potential losses associated with electro-dialysis. Figure 4b shows the experimentally measured values of the voltage drop due to the solution transport losses ( $\Delta\phi_{\text{solution}}$ ) at two different current densities. The  $\Delta\phi_{\text{solution}}$  increased as a function of time for both operating current densities until the cell potential exceeded the limit of the potentiostat (up to 11 V, see Figure S7b). In the near-neutral pH conditions, the K<sup>+</sup> was the dominating current carried across the CEM (see Figure S7a). While proton generation rate at the anode was the same as the K<sup>+</sup> crossover rate through the CEM, the proton generated at the anode surface combined with carbonate ions to generate CO<sub>2</sub> that get escaped from the anolyte and mixed with the produced O<sub>2</sub> to the anode outlet. This leads to a decreased conductivity of anolyte, resulting in



**Figure 5.** (a) Schematic illustration of the BPM-based  $\text{CO}_2\text{R}$  reactor with 1 M  $\text{KHCO}_3$ . (b)  $R_{\text{CO}_2\text{A}}$  and the corresponding  $\eta_{\text{CO}_2}$  as a function of the operating current density for both experimental data (dots) and simulation data (dashed lines).



**Figure 6.** (a) Contour map of  $\eta_{\text{CO}_2}$  as a function of  $\text{CO}_2(\text{aq})$  diffusion coefficient reduction factor ( $f_{\text{diff,red}}$ ) and  $j_{\text{CO}_2\text{R}}$  for the BPM-based  $\text{CO}_2\text{R}$  reactor. (b) Contour map of  $\Delta\phi_{\text{membrane}}$  as a function of  $f_{\text{diff,red}}$  and  $j_{\text{CO}_2\text{R}}$  for the BPM-based  $\text{CO}_2\text{R}$  reactor.

continuous increase in cell voltage (see Figure 4c) and the increased pH gradients.<sup>33</sup> Figure 4c shows the spatial distribution of all ionic species' concentrations (solid lines) and pH (black dashed line) values across the CEM-based  $\text{CO}_2\text{R}$  reactor at an operating current density of  $30 \text{ mA cm}^{-2}$  at 400 min after the cell operation. The average concentration of  $\text{K}^+$  ( $c_{\text{K}^+}$ ) dropped below 0.01 M in anolyte, resulting in the large electrolyte conductivity decrease and unsustainable operation of the reactor. The nonlinear behavior of  $\Delta\phi_{\text{solution}}$  as a function of the operation time was also a result of the reciprocal relation between transport loss and electrolyte conductivity (see eq S15). In principle, the depletion of the cation in the anolyte can be circumvented by convective recirculation between the catholyte and anolyte.<sup>34</sup> However, the recirculation and mixing between the catholyte and the anolyte would lead to a significant increase in  $R_{\text{CO}_2\text{A}}$  due to the effective transport of the dissolved  $\text{CO}_2$  to the anode chamber (see Figure S7c). The recirculation of electrolyte increased the value of  $R_{\text{CO}_2\text{A}}$  to  $97 \text{ nmol s}^{-1} \text{ cm}^{-2}$  and decreased the corresponding  $\eta_{\text{CO}_2}$  to 15.1% at an operating current density of  $10 \text{ mA cm}^{-2}$  (Figure S7c), which was comparable to the  $\text{CO}_2$  loss in the AEM-based  $\text{CO}_2$  reactor without recirculation.

To circumvent the electrodialysis loss in a CEM-based  $\text{CO}_2$  reactor without recirculation, a BPM can be used to facilitate the ion transport between the cathode and the anode and to block the  $\text{HCO}_3^-$  anion crossover to the anode. Figure 5a shows the schematic illustration of the BPM-based  $\text{CO}_2\text{R}$  reactor. BPM is composed of a CEM component (colored

orange) and an AEM (colored green) component. Instead of allowing specific ions to pass through the BPM, water was disassociated into  $\text{H}^+$  and  $\text{OH}^-$  at the BPM interface and transported to catholyte and anolyte through CEM and AEM layers, respectively. Figure 5b shows the modeling (dashed lines) and the experimental measurements (dots) of the steady-state behavior of  $R_{\text{CO}_2\text{A}}$  and the corresponding  $\eta_{\text{CO}_2}$  at two different operating current densities—30 and  $50 \text{ mA cm}^{-2}$ . The simulated  $R_{\text{CO}_2\text{A}}$  values at steady-state conditions reached 32.4 and  $40.9 \text{ nmol cm}^{-2} \text{ s}^{-1}$  for 30 and  $50 \text{ mA cm}^{-2}$ , respectively. Correspondingly, the experimentally measured  $R_{\text{CO}_2\text{A}}$  were 37.6 and  $44.6 \text{ nmol cm}^{-2} \text{ s}^{-1}$  for 30 and  $50 \text{ mA cm}^{-2}$  (averaged value for the test range of 400–500 min), respectively, showing a reasonable agreement between simulation and experimental results. The transient behavior of  $R_{\text{CO}_2\text{A}}$  and the corresponding  $j_{\text{CO}_2\text{R,loss,eq}}$  can be found in Figure S8d. The  $\text{CO}_2$  loss at steady state was driven primarily by the diffusion of the dissolved  $\text{CO}_2$  across the BPM. A slightly higher  $R_{\text{CO}_2\text{A}}$ , which corresponds to a larger  $\text{CO}_2$  concentration differential between the catholyte and anolyte, at higher operating current densities was observed because the higher release rate of  $\text{CO}_2$  at the anode surface lowered the average  $\text{CO}_2$  concentration in anolyte. For example, the average  $\text{CO}_2$  concentrations in the anolyte were 20.1 and 15 mM for 30 and  $50 \text{ mA cm}^{-2}$ , respectively. The  $\eta_{\text{CO}_2}$  in the BPM-based  $\text{CO}_2\text{R}$  reactor were 58.0% and 61.4% for 30 and  $50 \text{ mA cm}^{-2}$  for the six-electron  $\text{CO}_2\text{R}$  reaction, which was

significantly higher than that of AEM-based reactor (14.4%). Despite the improved  $\eta_{\text{CO}_2}$  in the BPM-based reactor compared to the AEM-based  $\text{CO}_2\text{R}$  reactor, the  $\eta_{\text{CO}_2}$  was still limited to 61.4% at the operating current density of  $50 \text{ mA cm}^{-2}$  (see Figure 5b) for a six-electron per mole of product  $\text{CO}_2\text{R}$  reaction. Further improvement in  $\eta_{\text{CO}_2}$  for the BPM-based reactor requires a reduction in the diffusion coefficient of dissolved  $\text{CO}_2$  in BPM. Figure 6 shows contour maps of the  $\eta_{\text{CO}_2}$  and the additional potential drop across BPM ( $\Delta\phi_{\text{membrane}}$ ) as a function of the operating current density when a series of reduction factors of the  $\text{CO}_2$  diffusion coefficient in BPM were used. The simulation results show that reductions in the diffusion coefficient by 77 and 44 times were required to achieve  $\eta_{\text{CO}_2}$  of 90% for 10 and  $50 \text{ mA cm}^{-2}$ , respectively (see the solid line in Figure 6a). Correspondingly, the additional potential drop across BPM ( $\Delta\phi_{\text{membrane}}$ ) was relatively low (<80 mV) to achieve a  $\eta_{\text{CO}_2}$  of 90% for a range of operating current densities from 10 to  $50 \text{ mA cm}^{-2}$  (see Figure 6b). For a target  $\eta_{\text{CO}_2}$  of 70%, reductions in the diffusion coefficient in BPM by 18 and 10 times were required for 10 and  $50 \text{ mA cm}^{-2}$ . This offers a practical design guideline for the design of BPM to achieve higher  $\eta_{\text{CO}_2}$ .

## CONCLUSIONS

In summary, the  $\text{CO}_2$  utilization efficiency in membrane-based electrochemical  $\text{CO}_2\text{R}$  reactors was quantitatively evaluated by modeling and experimental methods. The transport of the  $\text{HCO}_3^-$  across the AEM in AEM-based  $\text{CO}_2\text{R}$  reactors significantly limited the  $\text{CO}_2$  utilization efficiency of the device. In AEM-based  $\text{CO}_2\text{R}$  reactors, an operating-current-density-independent  $\text{CO}_2$  utilization efficiency with an upper limit of 14.4% were observed by modeling and experiments in the  $\text{CO}_2$ -saturated aqueous electrolytes without any buffer electrolyte for six-electron per mole of product  $\text{CO}_2\text{R}$  reaction with unity FE and ideal gas recycling. Lower FEs and nonideal gas recycling further decreased the  $\text{CO}_2$  utilization efficiency in AEM-based  $\text{CO}_2\text{R}$  reactor. To operate at a current density of  $10\text{--}50 \text{ mA cm}^{-2}$  for solar-fuel devices, the reduction of the  $\text{CO}_2$  feed in the cathode chamber did not improve the  $\text{CO}_2$  utilization efficiency and started to limit the operating current density due to the increase of the bulk electrolyte pH. In the AEM-based reactor, the  $\text{CO}_2$  utilization efficiency was improved from 14.4% to 38.3% when a 1 M phosphate buffer solution was added in the 0.1 M bicarbonate solution. The CEM-based  $\text{CO}_2\text{R}$  reactor exhibited low  $\text{CO}_2$  utilization efficiency with recirculation between the catholyte and the anolyte and was unsustainable due to the cation depletion from the anolyte without any recirculation. The BPM-based  $\text{CO}_2\text{R}$  reactor operated continuously without any significant increase in the cell voltage and exhibited significantly higher  $\text{CO}_2$  utilization efficiency, up to 61.4%, as compared to the AEM-based  $\text{CO}_2\text{R}$  reactors. Further improvement in  $\text{CO}_2$  utilization efficiency in the BPM-based reactor requires a reduction in the diffusion coefficient of  $\text{CO}_2$  in the membrane. For example, a  $\eta_{\text{CO}_2}$  of 90% can be achieved by reducing the  $\text{CO}_2$  diffusion coefficient by  $\sim 77$  times at  $50 \text{ mA cm}^{-2}$  without any significant increase in the voltage drop across the BPM. The study revealed significant challenges in  $\text{CO}_2$  utilization efficiency in aqueous-based electrochemical cells operating at current densities that are relevant to solar-fuel devices and also

provided design guidelines for membrane-based electrochemical or photoelectrochemical  $\text{CO}_2\text{R}$  reactors to achieve higher  $\text{CO}_2$  utilization efficiencies.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acsaeam.9b00986.

Additional simulation results for AEM-, CEM-, and BPM-based reactors; transient  $\text{CO}_2$  loss behavior for buffered AEM-based reactor; transient behavior of reactor voltage for CEM-based reactor; simulation method; and experimental method (PDF)

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### Notes

The authors declare no competing financial interest.

## ACKNOWLEDGMENTS

This material is based upon work performed by the Joint Center for Artificial Photosynthesis, a DOE Energy Innovation Hub, supported through the Office of Science of the U.S. Department of Energy under Award DE-SC0004993, in collaboration with the Materials and Systems Engineering Laboratory at the University of Illinois at Chicago. Meng Lin acknowledges support from the Swiss National Science Foundation through the Early Postdoc Mobility Fellowship, Grant P2ELP2\_178290. M.R.S. acknowledges the support from the Department of Chemical Engineering at the University of Illinois at Chicago.

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